

021804

23026
U.S. PTOUTILITY
PATENT APPLICATION
TRANSMITTAL

Our Docket No.:	6919.03
First Named Inventor:	Michael R. Oldenburg
Title:	RETROFITTABLE SEVERE DUTY SEAL FOR A SHAFT
Express Mail No.:	EV 325427380 US
ADDRESS TO:	Mail Stop PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

322856
10/781175
U.S. PTO

021804

APPLICATION ELEMENTS		ACCOMPANYING APPLICATION PARTS
1. <input checked="" type="checkbox"/>	Fee Calculation Sheet (Submit an original and a duplicate for fee processing)	9. <input type="checkbox"/> Certification Under 35 U.S.C. 122(b)(2)(B)(i)
2. <input type="checkbox"/>	Applicant claims small entity status	10. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
3. <input checked="" type="checkbox"/>	Specification with Cover Sheet Total Pages: 29 <input checked="" type="checkbox"/> Descriptive title of the invention <input checked="" type="checkbox"/> Cross Reference to Related Applications <input type="checkbox"/> Statement Re: Fed. Sponsored R&D <input checked="" type="checkbox"/> Background of the Invention <input checked="" type="checkbox"/> Brief Summary of the Invention <input checked="" type="checkbox"/> Brief Description of the Drawings <input checked="" type="checkbox"/> Detailed Description <input checked="" type="checkbox"/> Claims <input checked="" type="checkbox"/> Abstract of the Disclosure <input checked="" type="checkbox"/> References to Drawing Numbers	11. <input type="checkbox"/> 37 C.F.R. 3.73(b) Submission 12. <input type="checkbox"/> Power of Attorney 13. <input checked="" type="checkbox"/> Information Disclosure Statement (with Copies of Citations as necessary) 14. <input type="checkbox"/> Preliminary Amendment Total Pages: 15. <input type="checkbox"/> Certified Copy of Priority document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> English Translation Document (if applicable) 17. <input checked="" type="checkbox"/> Return Receipt Postcard (Should be specifically itemized) 18. <input checked="" type="checkbox"/> Other <input type="checkbox"/> Check No. 983102 in the amount of \$1,364 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4. <input checked="" type="checkbox"/>	Drawings (35 U.S.C. 113) Total Sheets: 3	
5. <input checked="" type="checkbox"/>	Oath or Declaration Total Pages: 1 a. <input type="checkbox"/> Newly Executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 C.F.R. 1.63(d)) (for continuation/divisional with Box 19 completed)	
	i. <input type="checkbox"/> DELETION OF INVENTORS Signed statement attached deleting inventor(s) named in the prior application (37 C.F.R. 1.63(d)(2) and 1.33(b))	
6. <input checked="" type="checkbox"/>	Application Data Sheet (37.C.F.R. 1.76)	
7. <input type="checkbox"/>	CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	
8. <input type="checkbox"/>	Nucleotide and/or Amino Acid Sequence Submission (If applicable, all necessary)	
a. <input type="checkbox"/>	Computer Readable Form (CRF)	<input checked="" type="checkbox"/> Continuation
b. <input type="checkbox"/>	Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper	<input type="checkbox"/> Division
c. <input type="checkbox"/>	Statement verifying identify of above copies	<input type="checkbox"/> Continuation-in-Part (CIP)
CONTINUATION APPLICATIONS		
19. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:		
<input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Division <input type="checkbox"/> Continuation-in-Part (CIP) of PRIOR APPLICATION application serial no. 10/173,250, filed 6/17/2002, which is a continuation of application serial no. 09/690,524, filed 10/17/2000 now U.S. Patent 6,406,026, which is a continuation of application serial no. 08/937,427, filed 9/25/1997, now U.S. Patent 6,186,507		
For CONTINUATION or DIVISIONAL APPLICATIONS: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference.		

CORRESPONDENCE ADDRESS

ADDRESS	CUSTOMER NUMBER	DORSEY & WHITNEY LLP Intellectual Property Department Suite 1500 50 South Sixth Street Minneapolis, MN 55402-1498	
TELEPHONE	612-340-8835	FAX	612-340-8856
Attorney Name: S. Wade Johnson		Reg. No. 50,873	
Signature: <i>S. Wade</i>		Date: 2 - 18 - 04	


 23026
J. PTO

 APPLICATION
FEE TRANSMITTAL SHEET
(FY 2004)

Complete if Known	
Application No.	Not Yet Known
Filing Date	Herewith
First Named Inventor	Michael R. Oldenburg
Group Art Unit	Not Yet Known
Examiner Name	Not Yet Known
Atty. Docket Number	6919.03

METHOD OF PAYMENT (Check One)			FEE CALCULATION (Continued)		
1. <input checked="" type="checkbox"/> The Director is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account No.: <u>04-1420</u> Deposit Account Name: <u>DORSEY & WHITNEY LLP</u>			3. ADDITIONAL FEES		
<input checked="" type="checkbox"/> Charge any additional fee required under 37 C.F.R. 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status (see 37 C.F.R. 1.27)			Large Entity Fee	Small Entity Fee	Fee Description
2. <input checked="" type="checkbox"/> Check Enclosed			50	25	Surcharge - late provisional filing fee or cover sheet
			130	65	Surcharge - Late nonprovisional filing fee or oath
			180	180	Submission of IDS
			40	40	Recording each patent assignment per property (times number of properties)
			110	55	Extension for reply within first month
			420	210	Extension for reply within second month
			950	475	Extension for reply within third month
			1,480	740	Extension for reply within fourth month
			2,010	1,005	Extension for reply within fifth month
			770	385	Submission After Final 1.129
			330	165	Notice of Appeal
			330	165	Filing a brief in support of an appeal
			290	145	Request for oral hearing
			110	55	Terminal Disclaimer Fee
			130	130	Petitions to the Commissioner
			50	50	Petitions related to provisional applications
			1,330	665	Utility/Reissue Issue Fee (including advance copies)
			480	240	Design Issue Fee (inc. advance copies)
			770	385	Request for Continued Examination (RCE)
			300	300	Publication fee for early, voluntary, or normal publication
			300	300	Publication fee for re-publication
			110	55	Petition to Revive - unavoidable
			1,330	665	Petition to Revive - unintentional
			OTHER FEE (specify)		
			Subtotal (3)		
			Total Amount of Payment: \$1,364		
*Calculation of Extra Claim Fees Large Entity Fee Small Entity Fee Fee Description 18 9 Claims in excess of 20 86 43 Independent claims in excess of 3 290 145 Multiple dependent Claim 86 43 Reissue independent claims over original patent 18 9 Reissue claims in excess of 20 and over original patent					

Submitted by:

CUSTOMER NUMBER 25763	DORSEY & WHITNEY LLP	Intellectual Property Department Suite 1500, 50 South Sixth Street Minneapolis, MN 55402	
Name: S. Wade Johnson		Phone No.: (612) 340-8835	Fax No.: (612) 340-8856
Signature:		Reg. No.: 50,873	Date: 2-18-04

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Michael R. Oldenburg

Docket No.

6919.03

Serial No.
Not Yet KnownFiling Date
HerewithExaminer
Not Yet KnownGroup Art Unit
Not Yet Known

Invention: RETROFITTABLE SEVERE DUTY SEAL FOR A SHAFT

Form PTO/SB/08A (14 pages, submitted in duplicate);
Information Disclosure Statement Under 1.97(b) (2);

Spec w/Cover Sheet (29); Drwgs, FIGS. 1-4 (3);

I hereby certify that this Appln Transm1 (1); Fee Transm1 (1); Appln Data Sheet (2); Copy of Decl (1);
(Identify type of correspondence)Check #983102; Rcpt Pstcrd

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under

37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.

20231-0001 on 18 February 2004
(Date)**Kim Anderson**(Typed or Printed Name of Person Mailing Correspondence)(Signature of Person Mailing Correspondence)**EV 325427380 US**("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.